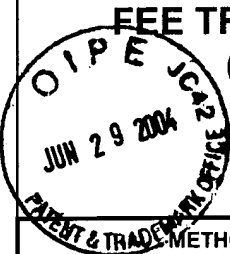


IFW AF 1765



# FEE TRANSMITTAL SHEET (FOR FY 2004)

<b>Complete if Known</b>	
Application No.	09/915,658
Filing Date	July 25, 2001
First Named Inventor	Gundu M. Sabde
Group Art Unit	1765
Examiner	Kin-Chan Chen
Atty. Docket Number	500163.04

## METHOD OF PAYMENT (Check One)

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP

2. ☐ Check Enclosed

## FEE CALCULATION

1. BASIC FILING FEE				
Large Entity		Small Entity		
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1001	770	2001	385	<input type="checkbox"/> Utility Filing Fee
1002	340	2002	170	<input type="checkbox"/> Design Filing Fee
1003	530	2003	265	<input type="checkbox"/> Plant Filing Fee
1004	770	2004	385	<input type="checkbox"/> Reissue Filing Fee
1005	160	2005	80	<input type="checkbox"/> Provisional Filing Fee
<b>Subtotal (1)</b>			<b>\$ 0</b>	

2. EXTRA CLAIM FEES					
Current Claims	Prior	Extra	Fee	Fee Paid	
Total	10	- 20	= 0	x \$ 18	= \$ 0
Ind.	1	- 3	= 0	x \$ 86	= \$ 0
Multiple Dependent Claims			x \$	=	\$
<b>Subtotal (2)</b>			<b>\$ 0</b>		

Large Entity		Small Entity		
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent Claim
1204	86	2204	43	Reissue independent claims over original patent
1205	18	2205	9	Reissue claims in excess of 20 and over original patent

## FEE CALCULATION (Continued)

Large Entity		Small Entity		
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1051	130	2051	65	Surcharge - Late filing fee or oath
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet
1053	130	1053	130	Non-English specification
1812	2,520	1812	2,520	For Filing a Request for ex parte Reexamination
1804	920	1804	920	Requesting publication of SIR prior to Examiner action
1805	1,840	1805	1,840	Requesting publication of SIR after Examiner action
1251	110	2251	55	Extension for reply within first month
1252	420	2252	210	Extension for reply within 2 <sup>nd</sup> month
1253	950	2253	475	Extension for reply within 3 <sup>rd</sup> month
1254	1,480	2254	740	Extension for reply within 4 <sup>th</sup> month
1255	2,010	2255	1,005	Extension for reply within 5 <sup>th</sup> month
1401	330	2401	165	Notice of Appeal
1402	330	2402	165	Filing a brief in support of an appeal
1403	290	2403	145	Request for oral hearing
1814	110	2814	55	Terminal Disclaimer Fee
1452	110	2452	55	Petition to revive - unavoidable
1453	1,330	2453	665	Petition to revive - unintentional
1501	1,330	2501	665	Utility/Reissue issue fee
1502	480	2502	240	Design issue fee
1503	640	2503	320	Plant issue fee
1460	130	1460	130	Petitions to the Commissioner
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)
1806	180	1806	180	Submission of IDS
8021	40	8021	40	Recording each patent assignment per property (times number of properties)
1801	770	2801	385	Request for Continued Examination (RCE)
Other fee (specify)				\$
<b>Subtotal (3)</b>			<b>\$ 0</b>	

**Total Amount of Payment: \$ 0**

Submitted by:

Name: Mark W. Roberts, Ph.D.	Reg. No.: 46,160	Telephone: (206) 903-8800
Signature: <i>Mark W. Roberts</i>		Date: 06-24-04



Corres. and Mail  
**BOX AF**

**RESPONSE UNDER 37 C.F.R. § 1.116  
EXPEDITED PROCEDURE - EXAMINING GROUP 1700**

**PATENT**

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

June 24, 2004  
Date

Carolyn L. Ross  
Carolyn L. Ross

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/915,658	Confirmation No. : 9163
Applicants : Gundu M. Sabde and Whonchee Lee	
Filed : July 25, 2001	Attorney Docket No.: 500163.04
Art Unit : 1765	Customer No. : 27,076
Examiner : Kin-Chan Chen	
Title : METHODS AND APPARATUSES FOR PLANARIZING MICROELETRONIC SUBSTRATE ASSEMBLIES	

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Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE UNDER 37 C.F.R. § 1.116**

Sir:

Applicants acknowledge receipt of the Office Action dated April 26, 2004.

Please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 5 of this paper.